

FORM PTO-1390  
OFFICE  
(REV. 10-95)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

CM2334  
U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

10/019041

INTERNATIONAL APPLICATION NO.  
PCT/US00/17635

INTERNATIONAL FILING DATE  
27 June 2000

PRIORITY DATE CLAIMED  
28 June 1999

TITLE OF INVENTION

Cosmetic Method

APPLICANT(S) FOR DO/EO/US

EVANS, Erica Louise et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application was filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☒ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

"Express Mail" mailing label number

Date of Deposit


I hereby certify that this paper/fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Assistant Commissioner of Patents, Washington, D C 20231

Administrative Mailing Application.

Signature

EL48362/258715  
20 Dec 2001  
*[Signature]*

FOOCT 406FOOT

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
10/019041		PCT/US00/17635		CM2334	
				CALCULATIONS PTO USE ONLY	
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$740	
Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$0	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	17-20 =	0	x \$18.00	\$0	
Independent Claims	5-3 =	2	x \$84.00	\$168	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			\$280.00	\$0	
TOTAL OF ABOVE CALCULATIONS =				\$908	
Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$0	
TOTAL NATIONAL FEE =				\$908	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28,3.31). \$40.00 per property +				\$0	
TOTAL FEES ENCLOSED =				\$908	
				Amount to be refunded	\$
				charged	\$
<p>a. [ ] A check in the amount of \$ ____ to cover the above fees is enclosed.</p> <p>b. [ x ] Please charge my Deposit Account No. <u>16-2480</u> in the amount of \$ <u>908</u> cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. [ x ] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>16-2480</u>. A duplicate copy of this sheet is enclosed.</p> <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p>					
A. E. Matthews, Patent Attorney Customer Number 27740			 Signature <u>T. David Reed</u> Name <u>32,931</u> Registration Number		

FOOTNOTES

Case CM2334

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the U.S. National Phase Entry  
Under 35 USC 371 from  
International Application of  
EVANS, Erica Louise et al.  
Int'l. Application No. PCT/US00/17635  
Filed in the RO/US on 27 June 2000  
Entitled: *Cosmetic Method*

PRELIMINARY AMENDMENT UNDER 37 CFR § 1.112

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

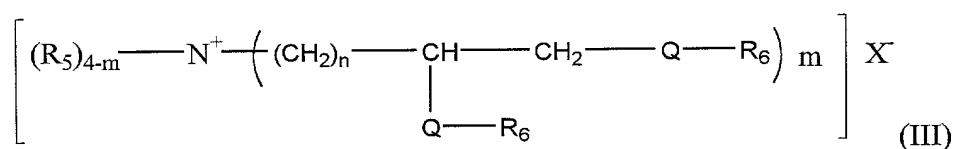
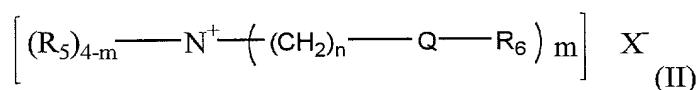
Prior to Examination and computation of the fees for entering the captioned International Application into the U.S. National Phase, please preliminarily amend the above-identified application as follows and consider the following Remarks.

AMENDMENTS

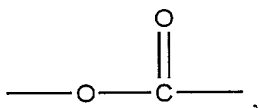
IN THE CLAIMS

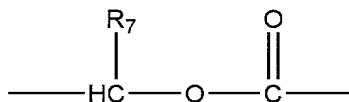
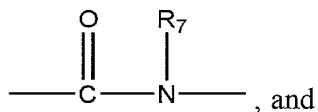
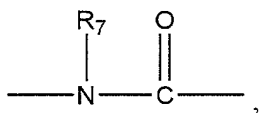
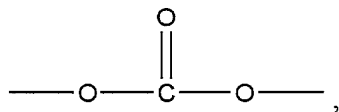
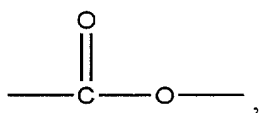
1. A method of treating skin comprising:
  - (i) applying to skin a composition comprising:
    - a) at least one readily biodegradable quaternary ammonium agent;
    - b) humectant; and
  - (ii) rinsing the skin.
2. A method according to claim 1 wherein said composition comprises greater than 1%, by weight, of said readily biodegradable quaternary ammonium agent.
3. A method according to claim 1 wherein said composition comprises greater than 4%, by weight, of said humectant.
4. A method according to claim 1 wherein said composition comprises vesicles, said vesicles comprising said quaternary ammonium agent and said humectant.

5. A method according to claim 1 wherein said composition is applied on dry skin on step (i).
6. A method according to claim 5 comprising:
  - (i) applying to dry skin a composition comprising at least one readily biodegradable quaternary ammonium agent and humectant;
  - (ii) rinsing the skin under a shower;
  - (iii) further application of said composition; and
  - (iv) further rinsing.
7. A method according to claim 1 wherein said composition comprises a humectant selected from the group consisting of glycerine, urea, butylene glycol, polyethylene glycol and derivatives thereof, and mixtures thereof.
8. A method according to claim 1 wherein the quaternary ammonium agent is selected from those according to general formula (II) or (III):



wherein, each  $R_5$  unit is independently selected from hydrogen, branched or straight chain  $C_1$ - $C_6$  alkyl, branched or straight chain  $C_1$ - $C_6$  hydroxyalkyl and mixtures thereof; each  $R_6$  unit is independently selected from the group consisting of linear and branched  $C_{11}$ - $C_{22}$  alkyl, linear and branched  $C_{11}$ - $C_{22}$  alkenyl, and mixtures thereof;  $X^-$  is an anion which is compatible with skin care actives and adjunct ingredients;  $m$  is from 1 to 4;  $n$  is from 1 to 4; and  $Q$  is a carbonyl unit selected from the group consisting of:



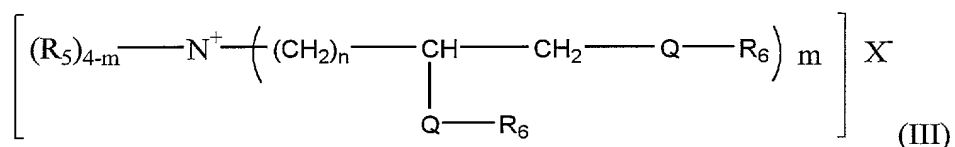
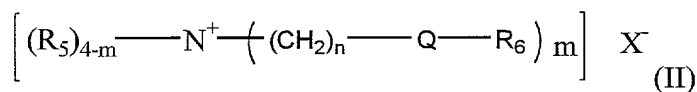


wherein R<sub>7</sub> is selected from the group consisting of hydrogen, C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>1</sub>-C<sub>4</sub> hydroxyalkyl, and mixtures thereof.

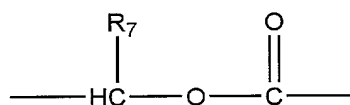
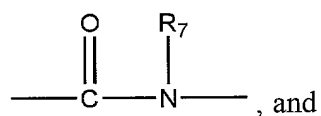
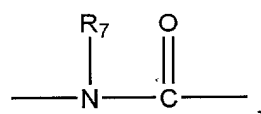
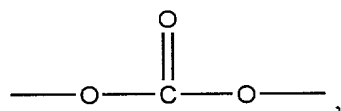
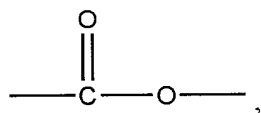
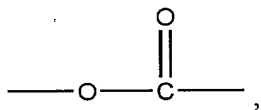
9. A method of treating skin comprising:
  - (i) applying to skin a composition comprising:
    - a) at least one quaternary ammonium agent;
    - b) at least 10%, by weight of the composition, of humectant; and
  - ii) rinsing the skin.
10. A method according to claim 9 wherein said composition comprises greater than 1%, by weight, of said quaternary ammonium agent.
11. A method according to claim 9 wherein said composition comprises vesicles, said vesicles comprising said quaternary ammonium agent and said humectant.
12. A method according to claim 9 comprising

- (i) applying to dry skin a composition comprising:
  - (a) at least one quaternary ammonium agent;
  - (b) at least 10%, by weight of the composition, of said humectant; and
- (ii) rinsing the skin.

13. A method according to claim 9 comprising:
  - (i) applying to dry skin a composition comprising at least one quaternary ammonium agent and at least 10%, by weight of the composition, of humectant;
  - (ii) rinsing the skin under a shower;
  - (iii) further application of said composition; and
  - (iv) further rinsing.
14. A method according to claim 9 wherein said composition comprises a humectant selected from the group consisting of glycerine, urea, butylene glycol, polyethylene glycol and derivatives thereof, and mixtures thereof.
15. A method according to claim 9 wherein the quaternary ammonium agent is selected from those according to general formula (II) or (III):



wherein, each  $R_5$  unit is independently selected from hydrogen, branched or straight chain  $C_1$ - $C_6$  alkyl, branched or straight chain  $C_1$ - $C_6$  hydroxyalkyl and mixtures thereof; each  $R_6$  unit is independently selected from the group consisting of linear and branched  $C_{11}$ - $C_{22}$  alkyl, linear and branched  $C_{11}$ - $C_{22}$  alkenyl, and mixtures thereof;  $X^-$  is an anion which is compatible with skin care actives and adjunct ingredients;  $m$  is from 1 to 4;  $n$  is from 1 to 4; and  $Q$  is a carbonyl unit selected from the group consisting of:



wherein R<sub>7</sub> is selected from the group consisting of hydrogen, C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>1</sub>-C<sub>4</sub> hydroxyalkyl, and mixtures thereof.

16. A method of treating skin wherein said method comprises:

(i) applying to the skin a composition comprising:

(a) at least one quaternary ammonium compound;

(b) humectant; and

(ii) rinsing the skin;

repeating steps (i) and (ii) within 48 hours.

17. A method of treating skin wherein said method comprises:

(i) washing the skin using a composition comprising surfactants;

(ii) rinsing the skin;

- (iii) applying to the wet skin a composition comprising at least one quaternary ammonium agent and humectant;
- (iv) rinsing the skin.

1004304-13004



REMARKS

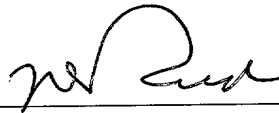
Claims 1 through 8 have been amended by eliminating multiple dependent claims, deleting preferably phases, and rewording. Claims 9 through 15 have been added. Claims 9 and 10 have been amended and renumbered as 16 and 17.

Attached hereto is a marked-up version of the changes made to the claims by the current amendment. The attached page is captioned "Version With Markings to Show Changes Made".

The support for these amendments is found in the claims as originally filed. These amendments are being entered to bring the claims into conformance with, *inter alia*, 37 CFR §1.75; no new matter is added.

Respectfully submitted,

By



T. David Reed  
Agent for Applicants  
Registration No. 32,931

13 December 2001  
5299 Spring Grove Avenue  
Cincinnati, Ohio 45217-1087  
Phone: (513) 627-7025  
FAX: (513) 627-6333

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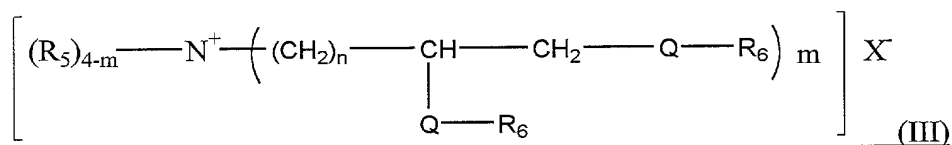
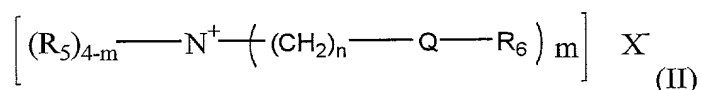
CM2334

**Version showing changes made**

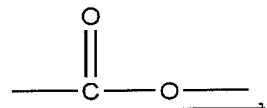
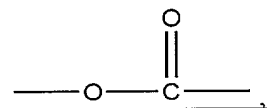
**WE CLAIM:**

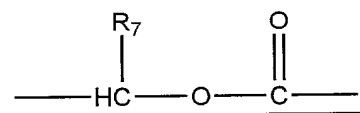
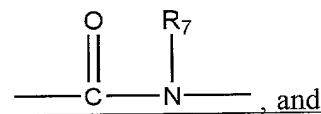
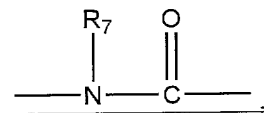
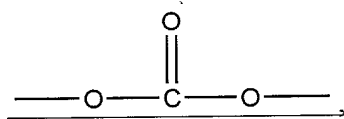
1. A [cosmetic] method of treating skin comprising:
  - (i) applying to skin a composition comprising:
    - a) at least one readily biodegradable quaternary ammonium agent;
    - b) humectant; and
  - (ii) rinsing the skin.
2. A method according to claim 1 [to any of the preceding Claims] wherein said composition comprises greater than 1%[, preferably at least 2%], by weight, of quaternary ammonium agent.
3. A method according to claim 1 [to any of the preceding Claims] wherein said composition comprises greater than 4%[, preferably greater than 5%, more preferably at least 10%], by weight, of humectant.
4. A method according to claim 1 [to any of the preceding Claims] wherein said composition comprises vesicles, said vesicles comprising quaternary ammonium agent and humectant.
5. A method according to claim 1 [to any of the preceding Claims] comprising
  - (i) applying to dry skin a composition comprising:
    - a) at least one readily biodegradable quaternary ammonium agent;
    - b) humectant; and
  - (ii) rinsing the skin.
6. A cosmetic method of treating skin comprising:
  - (i) applying to dry skin a composition comprising at least one readily biodegradable quaternary ammonium agent and humectant;
  - (ii) rinsing the skin under a shower;
  - (iii) further application of said composition; and
  - (iv) further rinsing.

7. A method according to claim 1 [to any of the preceding Claims] wherein said composition comprises a humectant selected from the group consisting of glycerine, urea, butylene glycol, polyethylene glycol and derivatives thereof, and[or] mixtures thereof.
8. A method according to claim 1 [to any of the preceding Claims] wherein the quaternary ammonium agent is selected from those according to general formula (II) or (III):



wherein, each  $R_5$  unit is independently selected from hydrogen, branched or straight chain  $C_1$ - $C_6$  alkyl, branched or straight chain  $C_1$ - $C_6$  hydroxyalkyl and mixtures thereof; each  $R_6$  unit is independently selected from the group consisting of linear and branched  $C_{11}$ - $C_{22}$  alkyl, linear and branched  $C_{11}$ - $C_{22}$  alkenyl, and mixtures thereof;  $X^-$  is an anion which is compatible with skin care actives and adjunct ingredients;  $m$  is from 1 to 4;  $n$  is from 1 to 4; and  $Q$  is a carbonyl unit selected from the group consisting of:

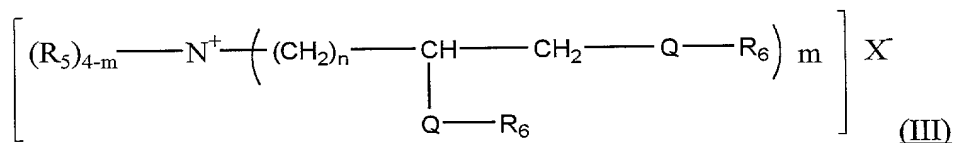
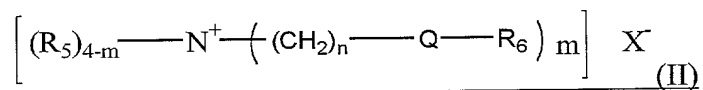




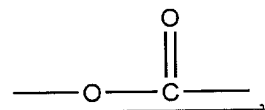
wherein  $\text{R}_7$  is selected from the group consisting of hydrogen,  $\text{C}_1$ - $\text{C}_4$  alkyl,  $\text{C}_1$ - $\text{C}_4$  hydroxyalkyl, and mixtures thereof.

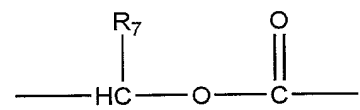
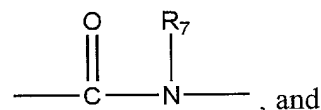
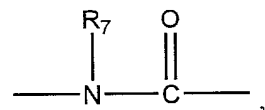
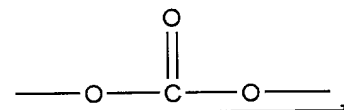
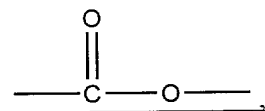
9. A method of treating skin comprising:
  - (i) applying to skin a composition comprising:
    - a) at least one quaternary ammonium agent;
    - b) at least 10% by weight of the composition of humectant; and
  - ii) rinsing the skin.
10. A method according to claim 9 wherein said composition comprises greater than 1%, by weight, of quaternary ammonium agent.
11. A method according to claim 9 wherein said composition comprises vesicles, said vesicles comprising said quaternary ammonium agent and said humectant.
12. A method according to claim 9 comprising
  - (i) applying to dry skin a composition comprising:
    - (a) at least one quaternary ammonium agent;
    - (b) at least 10%, by weight of the composition, of humectant; and
  - (ii) rinsing the skin.

13. A method according to claim 9 comprising:  
 (i) applying to dry skin a composition comprising at least one quaternary ammonium agent and at least 10%, by weight of the composition, of humectant;  
 (ii) rinsing the skin under a shower;  
 (iii) further application of said composition; and  
 (iv) further rinsing.
14. A method according to claim 9 wherein said composition comprises a humectant selected from the group consisting of glycerine, urea, butylene glycol, polyethylene glycol and derivatives thereof, and mixtures thereof.
15. A method according to claim 9 wherein the quaternary ammonium agent is selected from those according to general formula (II) or (III):



wherein, each  $R_5$  unit is independently selected from hydrogen, branched or straight chain  $C_1$ - $C_6$  alkyl, branched or straight chain  $C_1$ - $C_6$  hydroxyalkyl and mixtures thereof; each  $R_6$  unit is independently selected from the group consisting of linear and branched  $C_{11}$ - $C_{22}$  alkyl, linear and branched  $C_{11}$ - $C_{22}$  alkenyl, and mixtures thereof;  $X^-$  is an anion which is compatible with skin care actives and adjunct ingredients;  $m$  is from 1 to 4;  $n$  is from 1 to 4; and  $Q$  is a carbonyl unit selected from the group consisting of:





wherein  $\text{R}_7$  is selected from the group consisting of hydrogen,  $\text{C}_1\text{-C}_4$  alkyl,  $\text{C}_1\text{-C}_4$  hydroxyalkyl, and mixtures thereof.

16. A method of treating skin [according to any of the preceding claims] wherein said method comprises:
  - (i) washing the skin using a composition comprising surfactants;
  - (ii) rinsing the skin;
 applying to the wet skin a composition comprising at least one quaternary ammonium agent and humectant.
  
17. A method of treating skin [according to any of the preceding claims] wherein said method comprises:
  - (i) washing the skin using a composition comprising surfactants;
  - (ii) rinsing the skin;

- (iii) applying to the wet skin a composition comprising at least one quaternary ammonium agent and humectant;
- (iv) rinsing the skin.

10015041-12001

## COSMETIC METHOD

### Technical Field

The present invention relates to a cosmetic method. In particular, the present invention relates to a cosmetic method of treating the skin that provides good moisturisation, hydration, skin feel, skin softness and/or skin smoothness benefits.

### Background to the Invention

Skin is made up of several layers of cells which coat and protect the keratin and collagen fibrous proteins that form the skeleton of its structure. The outermost of these layers, referred to as the stratum corneum, is known to be composed of 25nm protein bundles surrounded by 8nm thick layers. Anionic surfactants and organic solvents typically penetrate the stratum corneum membrane and, by delipidization (i.e. removal of the lipids from the stratum corneum), destroy its integrity. This destruction of the skin surface topography leads to a rough feel and may eventually permit the surfactant or solvent to interact with the keratin, creating irritation.

It is now recognised that maintaining the proper water gradient across the stratum corneum is important to its functionality. Most of this water, which is sometimes considered to be the stratum corneum's plasticizer, comes from inside the body. If the humidity is too low, such as in a cold climate, insufficient water remains in the outer layers of the stratum corneum to properly plasticize the tissue, and the skin begins to scale and becomes itchy. Skin permeability is also decreased somewhat when there is inadequate water across the stratum corneum. On the other hand, too much water on the outside of the skin causes the stratum corneum to ultimately sorb three to five times its own weight of bound water. This swells and puckers the skin and results in approximately a two to three fold increase in the permeability of the skin to water and other polar molecules.



Thus, a need exists for a method of assisting the stratum corneum in maintaining its barrier and water-retention functions at optimum performance in spite of deleterious interactions which the skin may encounter in washing, work, and recreation.

In the past methods of treating the skin have often utilised compositions comprising one or more 'skin benefit agents'. These skin benefit agents are often water soluble. For example, one way of delivering high moisturisation to the skin is to incorporate polyhydric alcohol-like humectant materials such as glycerine into a composition. Skin compositions with high levels of polyhydric alcohols and therefore high levels of moisturisation, however, are often perceived by the consumer as unpleasant as such compositions can feel sticky or tacky when applied to the skin. There appears to be a direct relationship between the amount of the skin benefit agent and the effectiveness of the composition at delivering benefits to the skin. However, it is also the case that the higher the level of skin benefit agent the higher the risk of the associated negatives. One way of alleviating this problem is by rinsing the skin with water. However, this rinsing of the skin will also wash away the skin benefit agent particularly if said benefit agent is water-soluble.

Quaternary ammonium agents are also known for use in cosmetic compositions. See, for example, WO-A-99/27904, WO-A-96/32089, and EP-A-789,076. Also, US-A-5,804,205 which discloses skin care compositions which are claimed to provide a high degree of moisturisation without leaving a "tacky" or "sticky" residue. The compositions contain quaternary ammonium compounds having two alkyl groups of 16-22 carbon atoms, humectant and non-irritating hydrophobic microspheres having an average particle size of less than 50  $\mu\text{m}$ . It is claimed that the hydrophobic polymeric microspheres significantly reduce the "tackiness" associated with high humectant levels. Also disclosed in US-A-5,804,205 is a method of treating the skin with such compositions. However, the quaternary ammonium agents commonly used in the prior art are often not readily biodegradable and can, therefore, be harmful to the environment.

It has now been unexpectedly found that cosmetic methods comprising treating the skin with compositions comprising at least one quaternary ammonium agent and humectant and then rinsing the skin provide benefits such as good moisturisation, hydration, skin feel, skin softness and skin smoothness, but show low levels of negatives such as greasiness, stickiness or tackiness.

While not wishing to be bound by theory, it is believed that in the composition used in the present method the quaternary ammonium agents of the present invention can vesiculate the humectants and drive their deposition onto the skin. This results in a smooth and uniform application of the humectant to the skin with minimal tack/stickiness/greasiness. The rinsing step is believed to further reduce the negatives by washing away the excess humectant which causes the skin to feel sticky or greasy. Prior art methods comprising a rinsing step would often wash away much of the benefit agent. With the present method, however, it is believed that the quaternary ammonium agents retard the loss of the benefit agent while helping mitigate the usual negative. Even when the compositions used in the present methods comprise extremely high percentages of humectant, for example 30% by weight, there are only low levels of the usual negatives. Also, it is believed that the quaternary ammonium agents help reduce the loss of humectants from the skin due to environmental factors such as water from the rinsing step or abrasion from clothes or during washing. Moreover, it is believed that the quaternary ammonium agents themselves deliver skin care benefits, such as good moisturisation, good skin feel, good skin softness.

### **Summary of the Invention**

According to the present invention there is provided a cosmetic method comprising:

- (i) applying to the skin a composition comprising:
  - (a) at least one quaternary ammonium agent; and
  - (b) humectant;
- (ii) rinsing the skin.

The methods of the present invention give good skin care benefits, such as good moisturisation, good hydration, good skin feel, good skin softness and/or good skin smoothness, with low levels of negatives such as greasiness, stickiness or tack.

### **Detailed Description of the Invention**

The present methods comprise applying to the skin compositions comprising at least one quaternary ammonium agent and humectant and then rinsing the skin.

#### **Cosmetic Methods**

The methods of the present invention comprises applying to the skin a composition comprising at least one quaternary ammonium agent and humectant and then rinsing the skin. The compositions for use in the methods herein are described in more detail below.

The methods of the present invention give good skin care benefits, such as good moisturisation, good hydration, good skin feel, good skin softness and/or good skin smoothness, with low levels of negatives such as greasiness, stickiness or tack. In particular, the present method provides good moisturisation and hydration benefits to the skin but does not leave the skin feeling greasy. The present method must comprise the steps of:

- (i) applying to the skin a composition comprising:
  - (a) at least one quaternary ammonium agent; and
  - (b) humectant;
- (ii) rinsing the skin.

Step (i) can be performed on wet skin or dry skin. For extra moisturisation and/or hydration benefits it is preferred that step (i) is performed on dry skin. Therefore, one preferred aspect of the present method comprises:

- (i) applying to dry skin a composition comprising at least one quaternary ammonium agent and humectant;
- (ii) rinsing the skin.

Another preferred aspect of the present invention involves the above method with an additional application of the composition on wet skin. Therefore, a preferred method comprises:

- (i) applying to dry skin a composition comprising at least one quaternary ammonium agent and humectant;
- (ii) rinsing the skin under a shower;
- (iii) further application of said composition; and
- (iv) further rinsing.

Much of the damage to human skin is caused by repeated exposure to surfactant containing compositions during washing routines. It has been found that this damage can be mitigated by the present method. Therefore, another preferred aspect of the present method comprises:

- (i) washing the skin using a composition comprising surfactants;
- (ii) rinsing the skin;
- (iii) applying to the wet skin a composition comprising at least one quaternary ammonium agent and humectant;
- (iv) rinsing the skin.

It has also been found that the present method is particularly useful when incorporated as part of a regular routine. Therefore, another preferred aspect of the present method comprises:

- (i) applying to the skin a composition comprising:
  - (a) at least one quaternary ammonium compound;
  - (b) humectant; and
- (ii) rinsing the skin;
- (iii) repeating steps (i) and (ii) within 48 hours.

It has also been found that the present method is useful when incorporated as part of a regular shaving routine. Therefore, another preferred aspect of the present method comprises:

- (i) shaving the skin;
- (ii) optionally, rinsing the skin;
- (iii) applying to the skin a composition comprising:
  - (a) at least one quaternary ammonium compound;

- (b) humectant; and
- (ii) rinsing the skin;

The present methods can also be useful in mitigating damage caused by exposure of the skin to ultra violet radiation, damage caused by exposure of the skin to water during swimming or similar water based exercise, or damage caused by exposure of the skin to water during bathing.

### **Composition**

Preferably the compositions for use in the present method comprise vesicles comprising quaternary ammonium agent and humectant. As used herein the term "vesicle" means one or more bilayers arranged in a closed, usually spherical geometry, said bilayer comprises quaternary ammonium agent as described hereinbelow. Preferably, said vesicles comprise quaternary ammonium agent and humectant. In the compositions herein, the vesicles are preferably substantially spherical. The presence of vesicles in the present compositions can be detected by microscopic analysis (polarised light microscopy at a magnification of x60 using a Nikon Eclipse E800 microscope).

Preferably the vesicles herein have a number average size of from 0.01 $\mu$ m to 30 $\mu$ m, more preferably from 0.1  $\mu$ m to 15 $\mu$ m.

Preferably the compositions for use in the present method are in the form of creams, lotions, gels, and the like. Preferably the compositions for use in the present method are in the form of an oil-in-water emulsion of one or more oil phases in an aqueous continuous phase, each oil phase comprising a single oily component or a mixture of oily components in miscible or homogeneous form but said different oil phases containing different materials or combinations of materials from each other.

Preferably the compositions for use in the present method comprise less than 10%, preferably less than 5%, more preferably less than 3%, even more preferably 0%, by weight, of anionic surfactant.

The compositions of the present invention are preferably formulated so as to have a product viscosity of at least about 1,000 mPa.s and preferably in the range from about 1,000 to about 300,000 mPa.s, more preferably from about 2,500 to about 250,000 mPa.s and especially from about 5,000 to about 200,000 mPa.s (26.8°C, neat, Brookfield DV-II+ Spindle CP52/CP41).

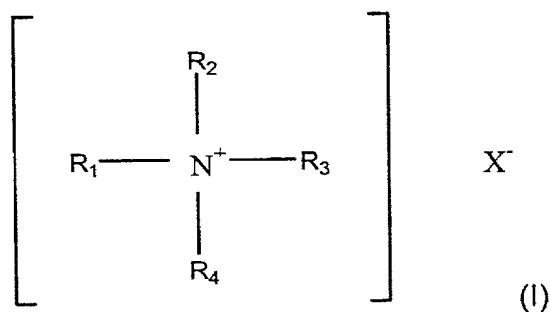
#### **Quaternary Ammonium Agent**

The compositions of the present invention must comprise at least one quaternary ammonium agent. Any quaternary ammonium agent suitable for use in cosmetic compositions may be used herein. As used herein the term "quaternary ammonium agent" means a compound or mixture of compounds having a quaternary nitrogen atom substituted with one or more, preferably two, moieties containing six or more carbon atoms. Preferably the quaternary ammonium agents for use herein are readily biodegradable. As used herein the term "readily biodegradable" means that, according to the OECD 301 test, at least 60% of the compounds mineralises within 28 days. Preferably the quaternary ammonium agents for use herein are selected from those having a quaternary nitrogen substituted with two moieties wherein each moiety comprises ten or more, preferably 12 or more, carbon atoms. Highly preferred quaternary ammonium agents for use herein are selected from those which are able to form vesicles in polar solvents, as detected by microscopic analysis (polarised light microscopy at a magnification of x60 using a Nikon Eclipse E800 microscope).

Preferably the present compositions comprise at least 0.01%, more preferably at least 0.1%, even more preferably at least 1.5%, still more preferably at least 3%, by weight, of quaternary ammonium agent.

Preferably the quaternary ammonium agents for use herein are selected from:

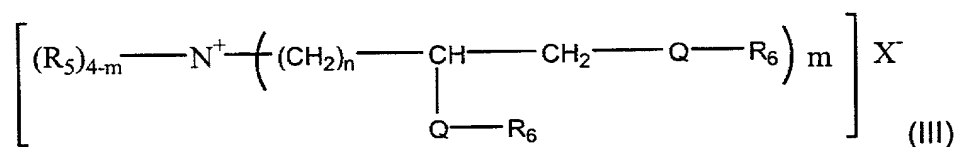
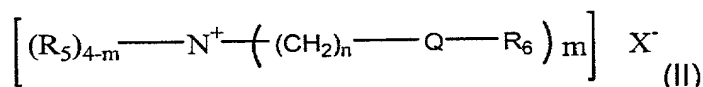
(a) quaternary ammonium compounds according to general formula (I):



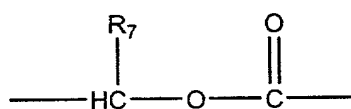
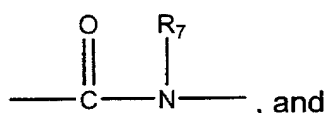
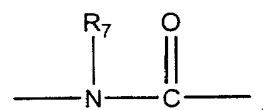
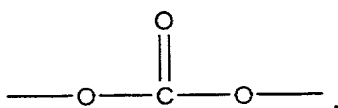
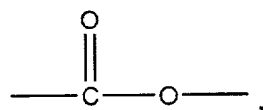
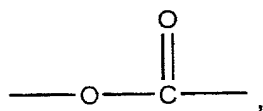
wherein,  $\text{R}_1$  &  $\text{R}_2$  are each  $\text{C}_1$ - $\text{C}_4$  alkyl or  $\text{C}_1$ - $\text{C}_4$  hydroxyalkyl groups or hydrogen.  $\text{R}_3$  &  $\text{R}_4$  are each alkyl or alkenyl groups having from about 8 to about 22 carbon atoms.  $\text{X}^-$  is a salt forming anion, compatible with quaternary ammonium compounds and other adjunct ingredients.

Preferred quaternary ammonium compounds of this type are quaternised amines having the general formula (I) where  $\text{R}_1$  &  $\text{R}_2$  are methyl or hydroxyethyl and  $\text{R}_3$  &  $\text{R}_4$  are linear or branched alkyl or alkenyl chains comprising at least 11 atoms, preferably at least 15 carbon atoms.

(b) quaternary ammonium compounds according to general formula (II) or (III):



wherein, each  $\text{R}_5$  unit is independently selected from hydrogen, branched or straight chain  $\text{C}_1$ - $\text{C}_6$  alkyl, branched or straight chain  $\text{C}_1$ - $\text{C}_6$  hydroxyalkyl and mixtures thereof, preferably methyl and hydroxyethyl; each  $\text{R}_6$  unit is independently linear or branched  $\text{C}_{11}$ - $\text{C}_{22}$  alkyl, linear or branched  $\text{C}_{11}$ - $\text{C}_{22}$  alkenyl, and mixtures thereof;  $\text{X}^-$  is an anion which is compatible with skin care actives and adjunct ingredients;  $m$  is from 1 to 4, preferably 2;  $n$  is from 1 to 4, preferably 2 and  $\text{Q}$  is a carbonyl unit selected from:



wherein R<sub>7</sub> is hydrogen, C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>1</sub>-C<sub>4</sub> hydroxyalkyl, and mixtures thereof.

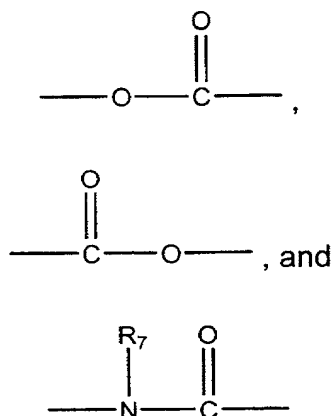
In the above quaternary ammonium compound example, the unit -QR<sub>6</sub> contains a fatty acyl unit which is typically derived from a triglyceride source. The triglyceride source is preferably derived from tallow, partially hydrogenated tallow, lard, partially hydrogenated lard, vegetable oils and/or partially hydrogenated vegetable oils, such as, canola oil, safflower oil, peanut oil, rapeseed oil, sunflower oil, corn oil, soybean oil, tall oil, rice bran oil, etc. and mixtures of these oils.

The counterion, X<sup>-</sup> in the above compounds, can be any compatible anion, preferably the anion of a strong acid, for example, chloride, bromide, methylsulfate, ethylsulfate, sulfate, nitrate and the like, more preferably chloride



or methyl sulfate. The anion can also, but less preferably, carry a double charge in which case  $X^-$  represents half a group.

The preferred quaternary ammonium compounds of the present invention are the diester and/or diamide Quaternary Ammonium (DEQA) compounds, the diesters and diamides having general formula (II), wherein the carbonyl group Q is selected from:

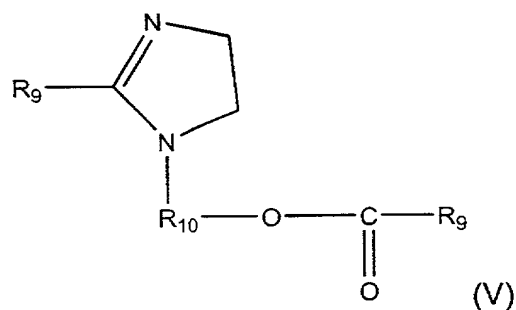
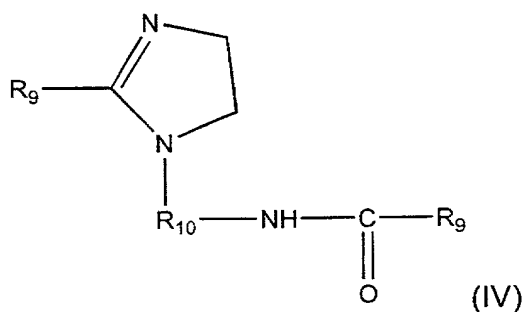


Tallow, canola and palm oil are convenient and inexpensive sources of fatty acyl units which are suitable for use in the present invention as  $R_6$  units.

The counterion,  $X^-$ , can be chloride, bromide, methylsulfate, formate, sulfate, nitrate, and mixtures thereof. In fact, the anion,  $X^-$ , is merely present as a counterion of the positively charged quaternary ammonium compounds. The scope of this invention is not considered limited to any particular anion.

As used herein, when the diester is specified, it will include the monoester and triester that are normally present as a result of the manufacture process.

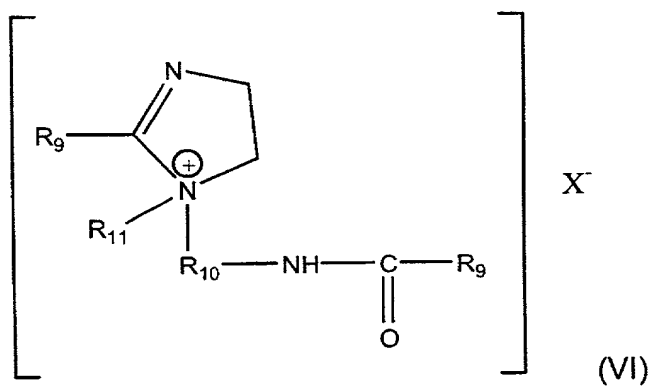
(c) quaternary ammonium compounds according to general formula (IV) or (V):

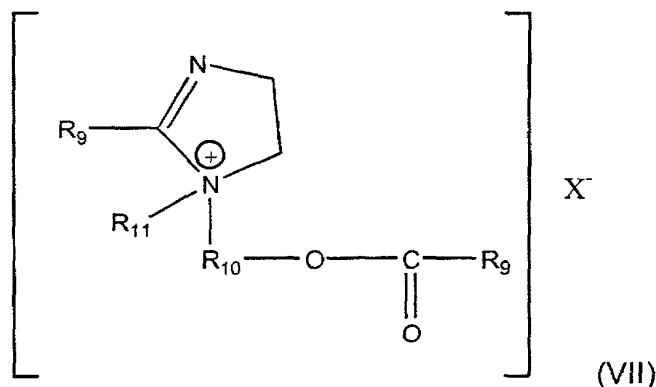


wherein  $R_9$  is an acyclic aliphatic  $C_{15}$ - $C_{21}$  hydrocarbon group and  $R_{10}$  is a  $C_1$ - $C_6$  alkyl or alkylene group.

These ammonium compounds, having a  $pK_a$  value of not greater than about 4, are able to generate a cationic charge in situ when dispersed in an aqueous solution, providing that the pH of the final composition is not greater than about 6.

(d) quaternary ammonium compounds according to general formula (VI) or (VII):

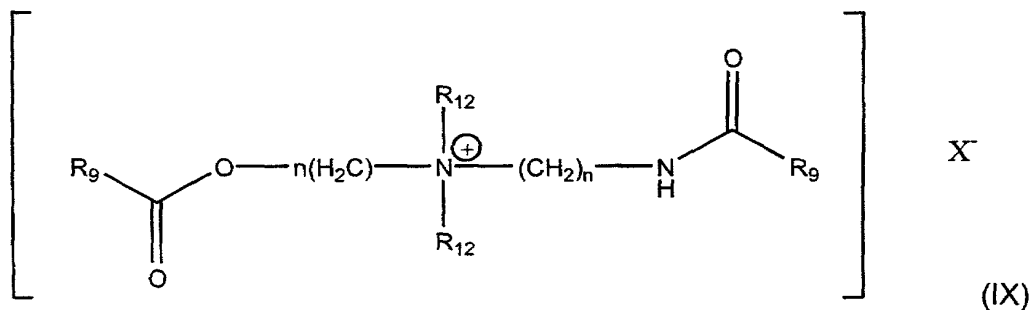
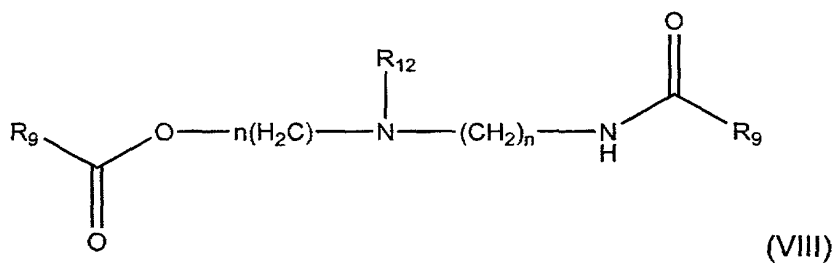




wherein  $R_9$  &  $R_{10}$  are as specified hereinabove and  $R_{11}$  is selected from  $C_1$ - $C_4$  alkyl and hydroxyalkyl groups.

The counterion,  $X^-$ , can be chloride, bromide, methylsulfate, formate, sulfate, nitrate, and mixtures thereof. In fact, the anion,  $X$ , is merely present as a counterion of the positively charged quaternary ammonium compounds. The scope of this invention is not considered limited to any particular anion.

(e) quaternary ammonium compounds according to general formula (VIII) or (IX):

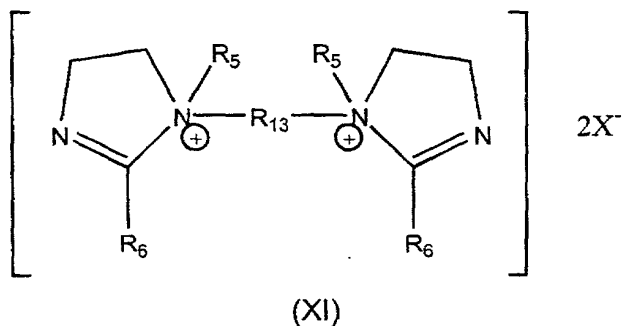
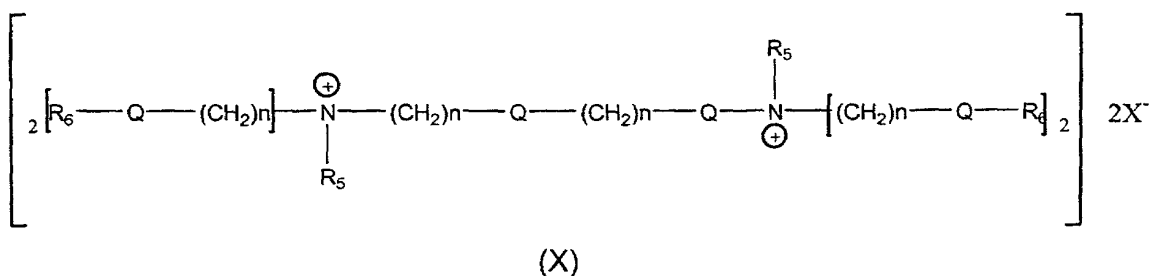


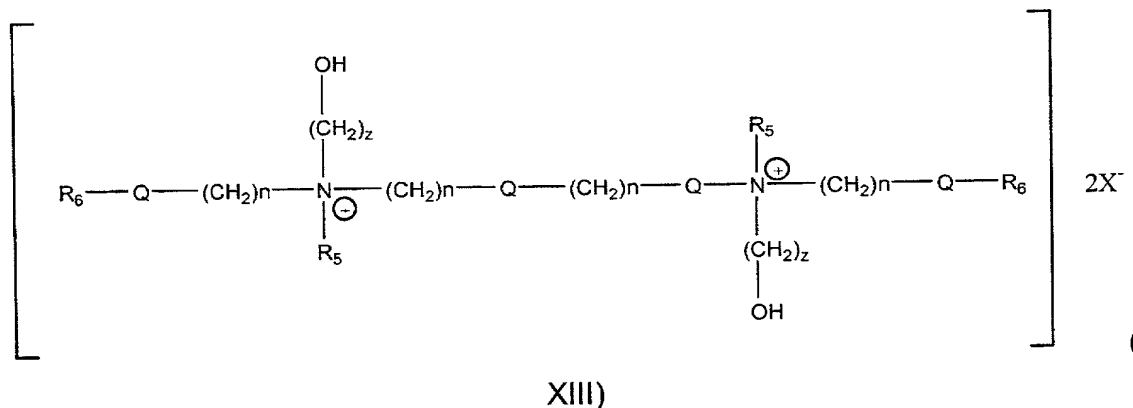
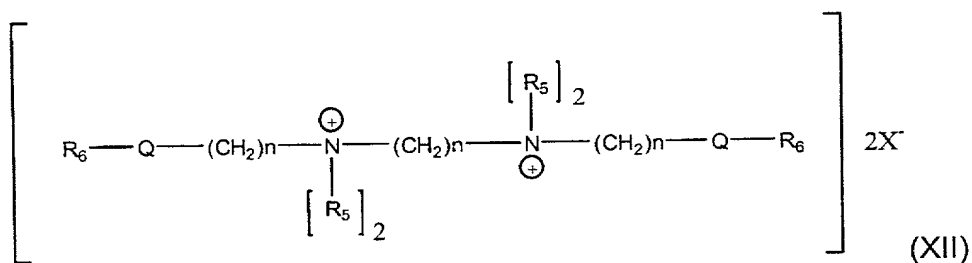
wherein, n is from 1 to 6, R<sub>9</sub> is selected from acyclic aliphatic C<sub>15</sub>-C<sub>21</sub> hydrocarbon groups and R<sub>12</sub> is selected from C<sub>1</sub>-C<sub>4</sub> alkyl and hydroxyalkyl groups.

These ammonium compounds (VIII), having a pK<sub>a</sub> value of not greater than about 4, are able to generate a cationic charge in situ when dispersed in an aqueous solution, providing that the pH of the final composition is not greater than about 6.

The counterion, X<sup>-</sup> (IX), can be chloride, bromide, methylsulfate, formate, sulfate, nitrate, and mixtures thereof. In fact, the anion, X<sup>-</sup>, is merely present as a counterion of the positively charged quaternary ammonium compounds. The scope of this invention is not considered limited to any particular anion.

(f) diquaternary ammonium compounds according to general formula (X), (XI), (XII) or (XIII):

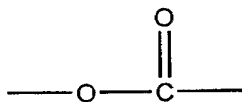




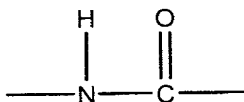
wherein  $R_5$ ,  $R_6$ ,  $Q$ ,  $n$  &  $X^-$  are as defined hereinabove in relation to general formula (II) and (III),  $R_{13}$  is selected from  $C_1$ - $C_6$  alkylene groups, preferably an ethylene group and  $z$  is from 0 to 4.

(g) mixtures of the above quaternary ammonium compounds.

The preferred quaternary ammonium agents for use in the present invention are those described in section (b) hereinabove. In particular, diester and/or diamide quaternary ammonium (DEQA) compounds according to general formula (II) hereinabove are preferred. Preferred diesters for use herein are those according to general formula (II) wherein  $R_5$ ,  $R_6$ , and  $X^-$  are as defined hereinabove and  $Q$  is:



Preferred diamides for use herein are those according to general formula (II) wherein  $R_5$ ,  $R_6$ , and  $X^-$  are as defined hereinabove and  $Q$  is:



Preferred examples of quaternary ammonium compounds suitable for use in the compositions of the present invention are N,N-di(canolyloxyethyl)-N,N-dimethyl ammonium chloride, N,N-di(canolyloxyethyl)-N-methyl,N-(2-hydroxyethyl) ammonium methyl sulfate, N,N-di(canolyloxyethyl)-N-methyl, N-(2-hydroxyethyl) ammonium chloride and mixtures thereof. Particularly preferred for use herein is N,N-di(canolyloxyethyl)-N-methyl,N-(2-hydroxyethyl) ammonium methyl sulfate.

Although quaternary ammonium compounds are derived from "canolyl" fatty acyl groups are preferred, other suitable examples of quaternary ammonium compounds are derived from fatty acyl groups wherein the term "canolyl" in the above examples is replaced by the terms "tallowyl, cocoyl, palmyl, lauryl, oleyl, ricinoleyl, stearyl, palmityl" which correspond to the triglyceride source from which the fatty acyl units are derived. These alternative fatty acyl sources can comprise either fully saturated, or preferably at least partly unsaturated chains.

### **Humectant**

A second essential element of the compositions of the present method is that they comprise humectant. As used herein the term "humectant" means a substance which provides the skin with water-retention benefits. Preferably, the compositions of the present invention comprise at least 1%, more preferably at least 5%, even more preferably at least 10%, still more preferably at least 20%, even more preferably at least 30%, by weight, of humectant.

Any humectant suitable for use in cosmetic compositions may be used herein. Non-limiting examples of suitable humectants for use in the present invention are described in WO98/22085, WO98/18444 and WO97/01326. Preferably the humectants for use herein are selected from, but not limited to; amino acids and derivatives thereof such as proline and arginine aspartate, 1,3-butylene glycol, propylene glycol and water and codium tomentosum extract, collagen amino acids or peptides, creatinine, diglycerol, biosaccharide gum-1, glucamine salts,

glucuronic acid salts, glutamic acid salts, polyethylene glycol ethers of glycerine (e.g. glycereth 20), glycerine, glycerol monopropoxylate, glycogen, hexylene glycol, honey, and extracts or derivatives thereof, hydrogenated starch hydrolysates, hydrolyzed mucopolysaccharides, inositol, keratin amino acids, urea, LAREX A-200 (available from Larex), glycosaminoglycans, methoxy PEG 10, methyl gluceth-10 and -20 (both commercially available from Amerchol located in Edison, NJ), methyl glucose, 3-methyl-1,3-butanediol, N-acetyl glucosamine salts, polyethylene glycol and derivatives thereof (such as PEG 15 butanediol, PEG 4, PEG 5 pentaerythritol, PEG 6, PEG 8, PEG 9), pentaerythritol, 1,2 pentanediol, PPG-1 glyceryl ether, PPG-9, 2-pyrrolidone-5-carboxylic acid and its salts such as glyceryl pca, saccharide isomerate, SEACARE (available from Secma), sericin, silk amino acids, sodium acetylhyaluronate, sodium hyaluronate, sodium poly-aspartate, sodium polyglutamate, sorbeth 20, sorbeth 6, sugar and sugar alcohols and derivatives thereof such as glucose, mannose and polyglycerol sorbitol, trehalose, triglycerol, trimethylpropane, tris (hydroxymethyl) amino methane salts, and yeast extract, and mixtures thereof.

More preferably, the humectants for use herein are selected from glycerine, urea, butylene glycol, polyethylene glycol and derivatives thereof, and mixtures thereof. Even more preferably, the humectants for use herein are selected from glycerine, urea and mixtures thereof, especially glycerine.

### **Optional Ingredients**

The compositions herein can contain a variety of optional components suitable for rendering the present compositions more cosmetically or aesthetically acceptable or to provide them with additional usage benefits. Such conventional optional ingredients are well-known to those skilled in the art. These include any cosmetically acceptable ingredients such as those found in the *CTFA International Cosmetic Ingredient Dictionary and Handbook, 7th edition, edited by Wenninger and McEwen, (The Cosmetic, Toiletry, and Fragrance Association, Inc., Washington, D.C., 1997)*. Some non-limiting examples of these optional ingredients are given below.

### **Polar Solvent**

The compositions of the present invention may also comprise polar solvent. Any polar solvent suitable for use in cosmetic compositions may be used herein. However, the polar solvent must be sufficiently polar to drive the formation of vesicles in the present invention. Preferably the polar solvent used in the compositions of the present invention is water.

Preferably comprise the present compositions will comprise from 10% to 90%, more preferably from 20% to 80%, even more preferably from 30% to 60%, by weight, of polar solvent.

### **Emollients**

A highly preferred optional ingredient of the compositions of the present invention is emollient. Emollients tend to lubricate the skin, increase the smoothness and suppleness of the skin, prevent or relieve dryness of the skin, and/or protect the skin. A wide variety of suitable emollients are known and may be used herein. *Sagarin, Cosmetics, Science and Technology, 2nd Edition, Vol. 1, pp. 32-43 (1972)* contains numerous examples of materials suitable for use as emollients. Preferably the compositions of the present invention comprise greater than 1%, more preferably at least 5%, even more preferably at least 10%, by weight, of emollient.

Preferably the emollients for use herein are selected from:

- i) Straight and branched chain hydrocarbons having from about 7 to about 40 carbon atoms, such as dodecane, squalane, petrolatum, cholesterol and derivatives thereof, hydrogenated polyisobutylene, isohexadecane and the C<sub>7</sub>-C<sub>40</sub> isoparaffins, which are C<sub>7</sub>-C<sub>40</sub> branched hydrocarbons.
- ii) C<sub>1</sub>-C<sub>30</sub> alcohol esters of C<sub>1</sub>-C<sub>30</sub> carboxylic acids and of C<sub>2</sub>-C<sub>30</sub> dicarboxylic acids, e.g. isononyl isononanoate, isopropyl myristate, myristyl propionate, isopropyl stearate, behenyl behenate, dioctyl maleate, diisopropyl adipate, and diisopropyl dilinoleate.
- iii) mono-, di- and tri- glycerides of C<sub>1</sub>-C<sub>30</sub> carboxylic acids and ethoxylated derivatives thereof. Suitable polyethylene glycol derivatives of glycerides include PEG-20 almond glycerides, PEG-60 almond glycerides, PEG-11 avocado glycerides, PEG-6 capric/caprylic glycerides, PEG-8 capric/caprylic glycerides, PEG-20 corn glycerides, PEG-60 corn glycerides, PEG-60



evening primose glycerides, PEG-7 glyceryl cocoate, PEG-30 glyceryl cocoate, PEG-40 glyceryl cocoate, PEG-78 glyceryl cocoate, PEG-80 glyceryl cocoate, PEG-12 glyceryl dioleate, PEG-15 glyceryl isostearate, PEG-20 glyceryl isostearate, PEG-30 glyceryl isostearate, PEG-75 cocoa butter glycerides, PEG-20 hydrogenated palm oil glycerides, PEG-70 mango glycerides, PEG-13 mink glycerides, PEG-75 shorea butter glycerides, PEG-10 olive glycerides, PEG-12 palm kernal glycerides, PEG-45 palm kernal glycerides, PEG-8 glyceryl laurate and PEG-30 glyceryl laurate. Mixtures of polyethylene glycol derivatives of glycerides can also be used herein.

- iv) alkylene glycol esters of  $C_1$ - $C_{30}$  carboxylic acids, e.g. ethylene glycol mono- and di- esters, and propylene glycol mono- and di- esters of  $C_1$ - $C_{30}$  carboxylic acids e.g., ethylene glycol distearate.
- v) Organopolysiloxane oils. The organopolysiloxane oil may be volatile, non-volatile, or a mixture of volatile and non-volatile silicones. The term "non-volatile" as used in this context refers to those silicones that are liquid under ambient conditions and have a flash point (under one atmospheric of pressure) of or greater than about 100°C. The term "volatile" as used in this context refers to all other silicone oils. Suitable organopolysiloxanes can be selected from a wide variety of silicones spanning a broad range of volatilities and viscosities. Non-volatile polysiloxanes are preferred. Suitable silicones are disclosed in U.S. Patent No. 5,069,897, issued December 3, 1991. Preferred for use herein are organopolysiloxanes selected from polyalkylsiloxanes, alkyl substituted dimethicones, dimethiconols, polyalkylaryl siloxanes, and mixtures thereof. More preferred for use herein are polyalkylsiloxanes and cyclomethicones. Preferred among the polyalkylsiloxanes are dimethicones.
- vi) Vegetable oils and hydrogenated vegetable oils. Examples of vegetable oils and hydrogenated vegetable oils include safflower oil, castor oil, coconut oil, cottonseed oil, menhaden oil, palm kernel oil, palm oil, peanut oil, soybean oil, rapeseed oil, linseed oil, rice bran oil, pine oil, sesame oil, sunflower seed oil, partially and fully hydrogenated oils from the foregoing sources, and mixtures thereof.
- vii) animal fats and oils, e.g. cod liver oil, lanolin and derivatives thereof such as acetylated lanolin and isopropyl lanolate. Lanolin oil is preferred.

- viii) Also useful are C<sub>4</sub>-C<sub>20</sub> alkyl ethers of polypropylene glycols, C<sub>1</sub>-C<sub>20</sub> carboxylic acid esters of polypropylene glycols, and di- C<sub>8</sub>-C<sub>30</sub> alkyl ethers, examples of which include PPG-14 butyl ether, PPG-15 stearyl ether, dioctyl ether, dodecyl octyl ether, and mixtures thereof.
- ix) polyol carboxylic acid esters.
- x) mixtures of the above.

Preferred emollients for use in the compositions herein are selected from dodecane, squalane, cholesterol and derivatives thereof, isohexadecane, isononyl isononanoate, petrolatum, lanolin and derivatives thereof, safflower oil, castor oil, coconut oil, cottonseed oil, palm kernel oil, palm oil, peanut oil, soybean oil, polyol carboxylic acid esters and mixtures thereof. More preferred emollients for use herein are selected from polyol carboxylic acid esters, petrolatum and mixtures thereof.

These esters are derived from a sugar or polyol moiety and one or more carboxylic acid moieties. Depending on the constituent acid and sugar, these esters can be in either liquid or solid form at room temperature. Examples of liquid esters include: glucose tetraoleate, the glucose tetraesters of soybean oil fatty acids (unsaturated), the mannose tetraesters of mixed soybean oil fatty acids, the galactose tetraesters of oleic acid, the arabinose tetraesters of linoleic acid, xylose tetralinoleate, galactose pentaoleate, sorbitol tetraoleate, the sorbitol hexaesters of unsaturated soybean oil fatty acids, xylitol pentaoleate, sucrose tetraoleate, sucrose pentaoleate, sucrose hexaoleate, sucrose heptaoleate, sucrose octaoleate, and mixtures thereof. Examples of solid esters include: sorbitol hexaester in which the carboxylic acid ester moieties are palmitoleate and arachidate in a 1:2 molar ratio; the octaester of raffinose in which the carboxylic acid ester moieties are linoleate and behenate in a 1:3 molar ratio; the heptaester of maltose wherein the esterifying carboxylic acid moieties are sunflower seed oil fatty acids and lignocerate in a 3:4 molar ratio; the octaester of sucrose wherein the esterifying carboxylic acid moieties are oleate and behenate in a 2:6 molar ratio; and the octaester of sucrose wherein the esterifying carboxylic acid moieties are laurate, linoleate and behenate in a 1:3:4 molar ratio. A preferred solid material is sucrose polyester in which the degree of esterification is 7-8, and in which the fatty acid moieties are C18

mono- and/or di-unsaturated and behenic, in a molar ratio of unsaturates: behenic of 1:7 to 3:5. A particularly preferred solid sugar polyester is the octaester of sucrose in which there are about 7 behenic fatty acid moieties and about 1 oleic acid moiety in the molecule. Other materials include cottonseed oil or soybean oil fatty acid esters of sucrose. The ester materials are further described in, U. S. Patent No. 2,831,854, U. S. Patent No. 4,005,196, to Jandacek, issued January 25, 1977; U. S. Patent No. 4,005,195, to Jandacek, issued January 25, 1977, U. S. Patent No. 5,306,516, to Letton et al., issued April 26, 1994; U. S. Patent No. 5,306,515, to Letton et al., issued April 26, 1994; U. S. Patent No. 5,305,514, to Letton et al., issued April 26, 1994; U. S. Patent No. 4,797,300, to Jandacek et al., issued January 10, 1989; U. S. Patent No. 3,963,699, to Rizzi et al, issued June 15, 1976; U. S. Patent No. 4,518,772, to Volpenhein, issued May 21, 1985; and U. S. Patent No. 4,517,360, to Volpenhein, issued May 21, 1985.

The polyol fatty acid polyesters suitable for use herein can be prepared by a variety of methods well known to those skilled in the art. These methods include: transesterification of the polyol with methyl, ethyl or glycerol fatty acid esters using a variety of catalysts; acylation of the polyol with a fatty acid chloride; acylation of the polyol with a fatty acid anhydride; and acylation of the polyol with a fatty acid, per se. See, for example, U.S. Patent No. 2,831,854; U.S. Patent No. 4,005,196, to Jandacek, issued January 25, 1977.

An especially preferred material is known by the INCI name sucrose polycottonseedate.

### ***Other Skin Benefit Agents***

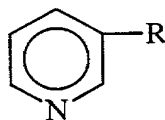
Other skin benefit agents may be useful in the compositions of the present method. Examples of other skin benefit agents that may be used in the present compositions include:

#### ***(a) Vitamin Compounds***

The present compositions may comprise vitamin compounds, precursors, and derivatives thereof. These vitamin compounds may be in either natural or synthetic form. Suitable vitamin compounds include, Vitamin A (e.g., beta

carotene, retinoic acid, retinol, retinoids, retinyl palmitate, retinyl propionate, etc.), Vitamin B (e.g., niacin, niacinamide, riboflavin, pantothenic acid, etc.), Vitamin C (e.g., ascorbic acid, etc.), Vitamin D (e.g., ergosterol, ergocalciferol, cholecalciferol, etc.), Vitamin E (e.g., tocopherol acetate, etc.), and Vitamin K (e.g., phytonadione, menadione, phthiocol, etc.) compounds. Preferred vitamin compounds for use in the compositions of the present invention are vitamin B<sub>3</sub> compounds. Vitamin B<sub>3</sub> compounds are particularly useful for regulating skin condition as described WO-A-97/39733. When present, the compositions of the present invention preferably comprise from about 0.01% to about 50%, more preferably from about 0.1% to about 10%, even more preferably from about 0.5% to about 5%, by weight, of the vitamin B<sub>3</sub> compound.

As used herein, "vitamin B<sub>3</sub> compound" means a compound having the formula:



wherein R is -CONH<sub>2</sub> (i.e., niacinamide), -COOH (i.e., nicotinic acid) or -CH<sub>2</sub>OH (i.e., nicotinyl alcohol); derivatives thereof; and salts of any of the foregoing.

Exemplary derivatives of the foregoing vitamin B<sub>3</sub> compounds include nicotinic acid esters, including non-vasodilating esters of nicotinic acid, nicotinyl amino acids, nicotinyl alcohol esters of carboxylic acids, nicotinic acid N-oxide and niacinamide N-oxide.

Examples of suitable vitamin B<sub>3</sub> compounds are well known in the art and are commercially available from a number of sources, e.g., the Sigma Chemical Company (St. Louis, MO); ICN Biomedicals, Inc. (Irvin, CA) and Aldrich Chemical Company (Milwaukee, WI).

The vitamin compounds may be included as the substantially pure material, or as an extract obtained by suitable physical and/or chemical isolation from natural (e.g., plant) sources.

(b) Anti-Wrinkle and Anti-Skin Atrophy Actives

Examples of anti-wrinkle and anti-skin atrophy actives that may be used in the compositions of the present invention include, but are not limited to, lactic acid and derivatives thereof, retinoic acid and its derivatives (e.g., cis and trans); retinol; retinyl esters; niacinamide, salicylic acid and derivatives thereof; sulfur-containing D and L amino acids and their derivatives and salts, particularly the N-acetyl derivatives, a preferred example of which is N-acetyl-L-cysteine; thiols, e.g., ethane thiol; hydroxy acids, phytic acid, lipoic acid; lysophosphatidic acid, and skin peel agents (e.g., phenol and the like).

(c) Antimicrobial and Antifungal Actives

Examples of antimicrobial and antifungal actives that may be used in the compositions of the present invention include, but are not limited to,  $\beta$ -lactam drugs, quinolone drugs, ciprofloxacin, norfloxacin, tetracycline, erythromycin, amikacin, 2,4,4'-trichloro-2'-hydroxy diphenyl ether, 3,4,4'-trichlorocarbanilide, phenoxyethanol, phenoxy propanol, phenoxyisopropanol, doxycycline, capreomycin, chlorhexidine, chlortetracycline, oxytetracycline, clindamycin, ethambutol, hexamidine isethionate, metronidazole, pentamidine, gentamicin, kanamycin, lineomycin, methacycline, methenamine, minocycline, neomycin, netilmicin, paromomycin, streptomycin, tobramycin, miconazole, tetracycline hydrochloride, erythromycin, zinc erythromycin, erythromycin estolate, erythromycin stearate, amikacin sulfate, doxycycline hydrochloride, capreomycin sulfate, chlorhexidine gluconate, chlorhexidine hydrochloride, chlortetracycline hydrochloride, oxytetracycline hydrochloride, clindamycin hydrochloride, ethambutol hydrochloride, metronidazole hydrochloride, pentamidine hydrochloride, gentamicin sulfate, kanamycin sulfate, lineomycin hydrochloride, methacycline hydrochloride, methenamine hippurate, methenamine mandelate, minocycline hydrochloride, neomycin sulfate, netilmicin sulfate, paromomycin sulfate, streptomycin sulfate, tobramycin sulfate, miconazole hydrochloride, amanfadine hydrochloride, amanfadine sulfate, octopirox, parachlorometa xyleneol, nystatin, tolnaftate, zinc pyrithione and clotrimazole.

(d) Sunscreen Actives

The compositions herein may also comprise suncreening actives. A wide variety of sunscreen agents are useful herein. These sunscreen agents include



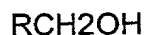
oxy)dibenzoylmethane, titanium dioxide, zinc oxide, iron oxide, and mixtures thereof.

More preferred for use in the compositions described herein are the sunscreen agents selected from the group consisting of 2-ethylhexyl N,N-dimethyl-p-aminobenzoate, 2-ethylhexyl p-methoxycinnamate, octocrylene, octyl salicylate, homomenthyl salicylate, p-aminobenzoic acid, oxybenzone, 2-phenylbenzimidazole-5-sulfonic acid, DEA p-methoxycinnamate, 4,4'-methoxy-t-butyl dibenzoylmethane, 4-isopropyl dibenzoylmethane, 3-(4-methylbenzylidene) camphor, 3-benzylidene camphor, 4-N,N-(2-ethylhexyl)methylaminobenzoic acid ester with 4-(2-hydroxyethyl-oxy)dibenzoylmethane, titanium dioxide, zinc oxide, iron oxide, and mixtures thereof.

Exact amounts of sunscreens which can be employed will vary depending upon the sunscreen chosen and the desired Sun Protection Factor (SPF) to be achieved. SPF is a commonly used measure of photoprotection of a sunscreen against erythema. See Federal Register, Vol. 43, No. 166, pp. 38206-38269, August 25, 1978.

### **Thickeners**

The compositions of the present invention preferably comprise thickeners. Any thickener suitable for use in cosmetic compositions can be used herein. Preferred thickeners are selected from nonionic water-soluble polymers, fatty alcohols and mixtures thereof. Suitable nonionic polymers include such water soluble polymers as cellulose ethers (e.g. hydroxybutyl methyl cellulose, hydroxypropylcellulose, hydroxypropyl methyl cellulose, ethylhydroxy ethyl cellulose, hydrophobically modified hydroxyethyl cellulose and hydroxyethylcellulose), poly(ethylene oxide), polyvinyl alcohol, polyvinylpyrrolidone, hydroxypropyl guar gum, amulose, hydroxyethyl amylose, starch, and starch derivatives. Suitable fatty alcohols are higher molecular weight, nonvolatile, primary alcohols having the general formula



wherein R is a C<sub>8-20</sub> alkyl. They can be produced from natural fats or oils by reduction of the fatty acid COOH-grouping to the hydroxyl function. Alternatively, identical or similarly structured fatty alcohols can be produced according to conventional synthetic methods known in the art. Suitable fatty alcohols include, but are not limited to behenylalcohol, C<sub>9</sub>-C<sub>11</sub> alcohols, C<sub>12</sub>-C<sub>13</sub> alcohols, C<sub>12</sub>-C<sub>15</sub> alcohols, C<sub>12</sub>-C<sub>16</sub> alcohols, C<sub>14</sub>-C<sub>15</sub> alcohols, caprylic alcohol, cetearyl alcohol, coconut alcohol, decyl alcohol, isocetyl alcohol, isostearyl alcohol, lauryl alcohol, oleyl alcohol, palm kernel alcohol, stearyl alcohol, cetyl alcohol, tallow alcohol, tridecyl alcohol or myristyl alcohol.

### ***Other Optional Ingredients***

The compositions useful in the present method can comprise a wide range of other optional components. These additional components should be pharmaceutically acceptable. Non-limiting examples of functional classes of ingredients suitable for use in the compositions of the present invention include: abrasives, absorbents, anti-acne actives, anticaking agents, anti-dandruff agents, anti-perspirant agents, antioxidants, anti-viral actives, artificial tanning actives and accelerators, biological additives, bleach, bleach activators, brighteners, builders, buffering agents, chelating agents, chemical additives, colorants, cosmetics, cleansers, cosmetic astringents, cosmetic biocides, denaturants, deodorants, desquamation actives, depilatories, drug astringents, dyes, dye transfer agents, enzymes, external analgesics, foam generators, flavours, film formers, fragrance components, insect repellents, mildewcides, non-steroidal anti-inflammatory active, opacifying agents, oxidative dyes, oxidising agents, pest control ingredients, pH adjusters such as citric acid, pH buffers, pharmaceutical actives, plasticizers, preservatives, radical scavengers, skin, hair or nail bleaching agents, skin, hair or nail conditioners, skin, hair or nail penetration enhancers, stabilisers, surfactants, surface conditioners, reducing agents, temperature depressors, viscosity modifiers, and warmth generators such as exothermic zeolites. Also useful herein are aesthetic components such as colourings, essential oils, and skin healing agents. Other optional materials herein include pigments. Pigments suitable for use in the compositions of the present invention can be organic and/or inorganic. Also included within the term pigment are materials having a low colour or lustre such as matte finishing agents, and also light scattering agents. Examples of suitable pigments are iron



oxides, acyglutamate iron oxides, titanium dioxide, ultramarine blue, D&C dyes, carmine, and mixtures thereof.

### **Formulation Process**

Preferably the compositions of the present method are prepared in such a way that the quaternary ammonium compound forms vesicles. It is preferred that said vesicles also comprise humectant. Preferably said vesicles also comprise emollient. In order to ensure optimal performance characteristics it is preferred that the compositions of the present invention are prepared as follows:

- (i) all or part of the quaternary ammonium agent is mixed with humectant, water soluble skin care actives (where included), and, preferably, polar solvent at a temperature which is higher than the melting point of the quaternary ammonium agent;
- (ii) optionally, the mixture is vigorously agitated;
- (iii) In a separate vessel the emulsion is prepared as follows; The oil phase containing the emollients, the relevant thickener in case the said thickener is oil soluble, and any remaining quaternary ammonium agent are mixed together at a temperature which is higher than the melting point of the quaternary ammonium compound. The aqueous phase is prepared separately. The water, the relevant thickener in case the said thickener is water soluble, and any remaining water soluble ingredients are heated to the same temperature as the oil phase.
- (iv) the temperature of the oil and aqueous phases of the emulsion are then approximately equalised and the aqueous phase is combined with the oil phase with agitation.
- (v) On production of the emulsion the mixture formed in step (i) is added to the aforementioned emulsion with agitation.

### **Examples**

The following examples further illustrate the preferred embodiments within the scope of the present invention. The examples are given solely for the purposes of illustration and are not to be construed as limitations of the present invention as many variations of the invention are possible without departing from its spirit

or scope. Unless otherwise indicated, all ingredients are expressed on a weight percentage of the active ingredient.

**Example Formulations:**

Formulation	1	2	3	4	5	6	7	8	9	10	11	12	13	
	Weight %													
Quat. Amm. Agt (see notes)	3	3	3	3	3	3	3	3	3	3	3	3	3	A
Glycerine	32	32	32	32	32	32	32	-	-	-	-	-	-	A
Urea	-	-	-	-	-	-	-	22	22	22	22	22	22	A
Petrolatum	-	-	10	-	12	15	-	-	-	10	-	12	15	B
Lanolin <sup>1</sup>	-	-	-	7.5	5	-	15	-	-	-	10	10	-	B
Coronet Lanolin <sup>1</sup>	-	-	-	-	-	-	5	-	-	-	-	-	-	B
Super Sterol Esters <sup>1</sup>	-	-	-	-	-	-	5	-	-	-	-	-	-	B
Sefa Cottonate	21	21	12	12	-	-	-	21	21	12	12	-	-	B
Dimethicone 10Cst	-	0.5	0.5	0.5	-	0.5	0.5	0.5	-	-	-	0.5	0.5	B
Dimethicone 1000Cst	-	1.0	1.0	1.0	-	1.0	1.0	1.0	-	-	-	1.0	1.0	B
Cyclomethicone	-	2.5	2.5	2.5	-	2.5	2.5	2.5	-	-	-	2.5	2.5	B
Florasun PEG-10 <sup>2</sup>	-	2	-	2	-	3	-	1	-	-	3	-	-	B
Methyl Paraben	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	A
Propyl Paraben	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	B
Disodium EDTA	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	A
Sodium Benzoate	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.3	B
Niacinamide	5	5	3		5	5	5	3	5			5	5	A
Panthenol	3	3	1		3	3	3	1	3			3	3	A
Tocopherol Acetate	2	2	0.5		2	2	2	0.5	2			2	2	B
Retinol Palmitate	0.1	-	-	-	-	-	0.05	-	-	0.1	-	-	-	B

Parsol 1789 <sup>3</sup>	-	1	-	1	0.5	-	0.1	-	1	-	1	-	1	B
Parsol MCX <sup>3</sup>	-	5	-	5	2.5	-	0.5	-	5	-	5	-	5	B
Citric acid	1	0.5	1	0.5	0.5	1	0.5	1	0.3	0.7 5	1	1	1	A
Salicylic acid	-	0.5	-	0.5	0.5	-	0.5	-	0.7	-	-	-	-	A
Triethanolamine	0.1	-	-	0.1	0.1	-	-	-	0.1	-	-	0.9	0.5	C
Sodium Hydroxide	-	-	0.1	0.1	0.1	-	-	0.1	-	0.1	-	-	-	C
Polyquaternium 10	-	-	-	1.0	-	-	0.5	-	0.6	-	-	-	0.5	B
Polymer KG 30 <sup>4</sup>	-	0.7	-	-	0.7	-	-	-	-	0.8	-	-	-	B
Fragrance	0.5	0.5	0.5	0.5	0.5	-	0.5	0.5	0.5	0.5	0.5	0.5	0.5	E
Cetyl Alcohol	3	-	-	-	2.6	-	-	2.2	-	2.2	-	2.5	-	C
Stearyl Alcohol	2	-	-	-	1.5	-	-	3.2	-	1.1	-	1.6	-	C
Cetearyl Alcohol	-	-	4	-	0.4	-	-	-	3.4	-	-	-	3.4	C
Behenyl Alcohol	2	-	2	-	-	-	-	0.6	-	0.7	-	-	-	C
Natrosol 330 Plus	1	-	-	-	0.4	-	-	0.7	0.6	-	-	0.5	0.25	C
Natrosol 250 HHR	-	-	1	-	0.3	-	-	-	-	1.2	-	-	0.3	C
Jaguar HP 105 <sup>5</sup>	-	1.2	-	-	-	-	-	-	-	-	0.8	-	-	D
Jaguar C14S <sup>5</sup>	-	-	-	1	-	-	-	-	-	-	-	-	-	D
Jaguar C13S <sup>5</sup>	-	-	-	-	-	1.2	-	-	-	-	-	-	-	D
Xanthan Gum	-	-	-	-	-	-	2.0	-	-	-	-	-	-	D
Sodium Chloride	-	-	0.1	-	-	-	0.5	0.2	-	0.3	-	-	-	A
Water	qs	qs	qs	qs	qs	qs	qs	qs	qs	qs	qs	qs	qs	A

Formulation	14	15	16	17	18	19	20	21	22	23	24	25	26	
	Weight %													
Quat. Amm. Agt (see notes)t	8	8	8	8	8	8	8	8	8	8	8	8	8	A
Glycerine	32	32	32	32	32	32	32	-	-	-	-	-	-	A
Urea	-	-	-	-	-	-	-	22	22	22	22	22	22	A
Petrolatum	-	-	10	-	12	15	-	-	-	10	-	12	15	B
Lanolin <sup>1</sup>	-	-	-	7.5	5	-	15	-	-	-	10	10	-	B
Coronet Lanolin <sup>1</sup>	-	-	-	-	-	-	5	-	-	-	-	-	-	B
Super Sterol Esters <sup>1</sup>	-	-	-	-	-	-	5	-	-	-	-	-	-	B
Sefa Cottonate	21	21	12	12	-	-	-	21	21	12	12	-	-	B
Dimethicone 10Cst	-	0.5	0.5	0.5	-	0.5	0.5	0.5	-	-	-	0.5	0.5	B
Dimethicone 1000Cst	-	1.0	1.0	1.0	-	1.0	1.0	1.0	-	-	-	1.0	1.0	B
Cyclomethicone	-	2.5	2.5	2.5	-	2.5	2.5	2.5	-	-	-	2.5	2.5	B
Florasun PEG-10 <sup>2</sup>	-	2	-	2	-	3	-	1	-	-	3	-	-	B
Methyl Paraben	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	A
Propyl Paraben	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	B
Disodium EDTA	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	A
Sodium Benzoate	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.3	B
Niacinamide	5	5	3		5	5	5	3	5			5	5	A
Panthenol	3	3	1		3	3	3	1	3			3	3	A
Tocopherol Acetate	2	2	0.5		2	2	2	0.5	2			2	2	B
Retinol Palmitata	0.1	-	-	-	-	0.05	-	-	-	-	-	-	0.1 5	B
Parsol 1789 <sup>3</sup>	-	1	-	1	0.5	-	0.1	-	1	-	1	-	1	B
Parsol MCX <sup>3</sup>	-	5	-	5	2.5	-	0.5	-	5	-	5	-	5	B

Citric acid	1	0.5	1	0.5	0.5	1	0.5	1	0.3	0.7 5	1	1	1	A
Salicylic acid	-	0.5	-	0.5	0.5	-	0.5	-	0.7	-	-	-	-	A
Triethanolamine	0.1	-	-	0.1	0.1	-	-	-	0.1	-	-	0.9	0.5	C
Sodium Hydroxide	-	-	0.1	0.1	0.1	-	-	0.1	-	0.1	-	-	-	C
Polyquaternium 10	-	-	-	1.0	-	-	0.5	-	0.6	-	-	-	0.5	B
Polymer KG 30 <sup>4</sup>	-	0.7	-	-	0.7	-	-	-	-	0.8	-	-	-	B
Fragrance	0.5	0.5	0.5	0.5	0.5	-	0.5	0.5	0.5	0.5	0.5	0.5	0.5	E
Cetyl Alcohol	3	-	-	-	2.6	-	-	2.2	-	2.2	-	2.5	-	C
Stearyl Alcohol	2	-	-	-	1.5	-	-	3.2	-	1.1	-	1.6	-	C
Cetearyl Alcohol	-	-	4	-	0.4	-	-	-	3.4	-	-	-	3.4	C
Behenyl Alcohol	2	-	2	-	-	-	-	0.6	-	0.7	-	-	-	C
Natrosol 330 Plus	1	-	-	-	0.4	-	-	0.7	0.6	-	-	0.5	0.2 5	C
Natrosol 250 HHR	-	-	1	-	0.3	-	-	-	-	1.2	-	-	0.3	C
Jaguar HP 105 <sup>5</sup>	-	1.2	-	-	-	-	-	-	-	-	0.8	-	-	D
Jaguar C14S <sup>5</sup>	-	-	-	1	-	-	-	-	-	-	-	-	-	D
Jaguar C13S <sup>5</sup>	-	-	-	-	-	1.2	-	-	-	-	-	-	-	D
Xanthan Gum	-	-	-	-	-	-	2.0	-	-	-	-	-	-	D
Sodium Chloride	-	-	0.1	-	-	-	0.5	0.2	-	0.3	-	-	-	A
Water	qs	qs	qs	qs	qs	qs	qs	qs	qs	qs	qs	qs	qs	A

Formulation	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	
	Weight %															
Quat. Amm. Agt (see notes)	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	A
Glycerine	15	16	22	9	8	9	-	32	32	32	32	32	32	32	32	A
Propylene Glycol	-	16	-	-	-	5	12	-	-	-	-	-	-	-	-	A
Butylene Glycol	-	-	8	8	-	8	12	-	-	-	-	-	-	-	-	A

Urea	-	-	-	12	-	2	9	-	-	-	-	-	-	-	-	A
Petrolatum	-	-	10	-	12	15	-	-	4	7.5	-	12	5	-	6	B
Lanolin <sup>1</sup>	-	-	-	7.5	7.5	-	15	-	3.5	-	3	-	-	-	-	B
Coronet Lanolin <sup>1</sup>	-	-	-	-	-	-	-	-	7	-	6.7	-	-	6	-	B
Super Sterol Esters <sup>1</sup>	-	-	-	-	-	-	-	-	3.5	-	6.5	-	3	6	3	B
Isohexadecane	-	-	-	-	-	-	-	-	-	5	-	3	-	-	3	B
Isononyl Isononanoate	-	-	-	-	-	-	-	-	-	3	-	5	-	-	-	B
Sefa Cottonate	21	21	12	12	-	-	-	13	-	8	-	-	8	12	10	B
Sefa Behenate	-	-	-	-	-	-	-	9	-	-	3	-	5	-	-	B
Dimethicone 10Cst	-	0.5	0.5	0.5	-	0.5	0.5	0.5	-	-	-	0.5	0.5	-	-	B
Dimethicone 1000Cst	-	1.0	1.0	1.0	-	1.0	1.0	1.0	-	-	-	1.0	1.0	-	-	B
Cyclomethicone	-	2.5	2.5	2.5	-	2.5	2.5	2.5	-	-	-	2.5	2.5	-	-	B
Florasun PEG-10 <sup>2</sup>	-	2	-	2	-	3	-	1	-	-	3	-	-	2.5	-	B
Methyl Paraben	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	A
Propyl Paraben	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	B
Disodium EDTA	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	0.1	A
Sodium Benzoate	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.3	0.3	B
Niacinamide	3	5	5			5	5	5	3	3		5	5	5	3	A
Panthenol	1	3	3			3	3	3	1	1		3	3	3	1	A
Tocopherol Acetate	0.5	2	2			2	2	2	0.5	0.5		2	2	2	0.5	B
Retinol Palmitate	-	-	-	0.1	-	0.05	-	-	0.1	-	-	-	-	-	-	B
Parsol 1789 <sup>3</sup>	-	1	-	1	0.5	-	1	-	1	-	1	-	0.1	1	-	B
Parsol MCX <sup>3</sup>	-	5	-	5	2.5	-	5	-	5	-	5	-	0.5	5	-	B
Citric acid	1	0.5	1	0.5	0.5	1	0.5	1	0.3	0.7	1	1	1	1	-	A
Salicylic acid	-	0.5	-	0.5	0.5	-	0.5	-	0.7	-	-	-	-	-	0.5	A
Triethanolamine	0.1	-	-	0.1	0.1	-	-	-	0.1	-	-	0.9	0.5	-	-	C
Sodium Hydroxide	-	-	0.1	0.1	0.1	-	-	0.1	-	0.1	-	-	-	-	0.6	C
Polyquaternium 10	-	-	-	1.0	-	-	0.5	-	0.6	-	-	-	0.5	1.0	-	B
Polymer KG 30 <sup>4</sup>	-	0.7	-	-	0.7	-	-	-	-	0.8	-	-	-	-	0.8	B
Fragrance	0.5	0.5	0.5	0.5	0.5	-	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	E

Cetyl Alcohol	3	-	-	-	2.6	-	-	2.2	-	2.2	-	2.5	-	-	3.2	C
Stearyl Alcohol	2	-	-	-	1.5	-	-	3.2	-	1.1	-	1.6	-	-	2.6	C
Cetearyl Alcohol	-	-	4	-	0.4	-	-	-	3.4	-	-	-	3.4	-	-	C
Behenyl Alcohol	2	-	2	-	-	-	-	0.6	-	0.7	-	-	-	-	0.2	C
Natrosol 330 Plus	1	-	-	-	0.4	-	-	0.7	0.6	-	-	0.5	0.2	-	0.6	C
												5				
Natrosol 250 HHR	-	-	1	-	0.3	-	-	-	-	1.2	-	-	0.3	-	-	C
Jaguar HP 105 <sup>5</sup>	-	1.2	-	-	-	-	-	-	-	-	0.8	-	-	-	-	D
Jaguar C14S <sup>5</sup>	-	-	-	1	-	-	-	-	-	-	-	-	-	-	-	D
Jaguar C13S <sup>5</sup>	-	-	-	-	-	1.2	-	-	-	-	-	-	-	0.8	-	D
Xanthan Gum	-	-	-	-	-	-	3.0	-	-	-	-	-	-	-	-	D
Sodium Chloride	-	-	0.1	-	-	-	0.5	0.2	-	0.3	-	-	-	0.2	0.1	A
Water	qs	qs	qs	qs	qs	qs	qs	qs	qs	qs	qs	qs	qs	qs	qs	A

Formulation	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	
	Weight %															
Quat. Amm. Agt (see notes)	8	8	8	8	8	8	8	8	8	8	8	8	8	8	8	A
Glycerine	15	16	22	9	8	9	-	32	32	32	32	32	32	32	32	A
Propylene Glycol	-	16	-	-	-	5	12	-	-	-	-	-	-	-	-	A
Butylene Glycol	-	-	8	8	-	8	12	-	-	-	-	-	-	-	-	A
Urea	-	-	-	12	-	2	9	-	-	-	-	-	-	-	-	A
Petrolatum	-	-	10	-	12	15	-	-	4	7.5	-	12	5	-	6	B
Lanolin <sup>1</sup>	-	-	-	7.	7.	-	15	-	3.5	-	3	-	-	-	-	B
				5	5											
Coronet Lanolin <sup>1</sup>	-	-	-	-	-	-	-	-	7	-	6.	-	-	6	-	B
											7					
Super Sterol Esters <sup>1</sup>	-	-	-	-	-	-	-	-	3.5	-	6.	-	3	6	3	B
											5					
Isohexadecane	-	-	-	-	-	-	-	-	-	5	-	3	-	-	3	B
Isononyl Isononanoate	-	-	-	-	-	-	-	-	-	3	-	5	-	-	-	B
Sefa Cottonate	21	21	12	12	-	-	-	13	-	8	-	-	8	12	10	B

Sefa Behenate	-	-	-	-	-	-	-	9	-	-	3	-	5	-	-	B
Dimethicone 10Cst	-	0. 5	0.5	0. 5	-	0. 5	0. 5	0. 5	-	-	-	0.5	0. 5	-	-	B
Dimethicone 1000Cst	-	1. 0	1.0	1. 0	-	1. 0	1. 0	1. 0	-	-	-	1.0	1. 0	-	-	B
Cyclomethicone	-	2. 5	2.5	2. 5	-	2. 5	2. 5	2. 5	-	-	-	2.5	2. 5	-	-	B
Florasun PEG-10 2	-	2	-	2	-	3	-	1	-	-	3	-	-	2.5	-	B
Methyl Paraben	0. 5	0. 5	0.5	0. 5	0. 5	0. 5	0. 5	0. 5	0.5	0.5	0. 5	0.5	0. 5	0.5	0. 5	A
Propyl Paraben	0. 5	0. 5	0.5	0. 5	0. 5	0. 5	0. 5	0. 5	0.5	0.5	0. 5	0.5	0. 5	0.5	0. 5	B
Disodium EDTA	0. 1	0. 1	0.1	0. 1	0. 1	0. 1	0. 1	0. 1	0.1	0.1	0. 1	0.1	0. 1	0.1	0. 1	A
Sodium Benzoate	0. 3	0. 3	0.3	0. 3	0. 3	0. 3	0. 3	0. 3	0.3	0.3	0. 3	0.3	0. 3	0.3	0. 3	B
Niacinamide	3	5	5			5	5	5	3	3		5	5	5	3	A
Panthenol	1	3	3			3	3	3	1	1		3	3	3	1	A
Tocopherol Acetate	0. 5	2	2			2	2	2	0.5	0.5		2	2	2	0. 5	B
Retinol Palmitate	-	-	-	-	-	-	-	-	0.0 5	-	-	0.1	-	-	-	B
Parsol 1789 <sup>3</sup>	-	1	-	1	0. 5	-	1	-	1	-	1	-	0. 1	1	-	B
Parsol MCX <sup>3</sup>	-	5	-	5	2. 5	-	5	-	5	-	5	-	0. 5	5	-	B
Citric acid	1	0. 5	1	0. 5	0. 5	1	0. 5	1	0.3	0.7 5	1	1	1	1	-	A
Salicylic acid	-	0. 5	-	0. 5	0. 5	-	0. 5	-	0.7	-	-	-	-	-	0. 5	A
Triethanolamine	0. 1	-	-	0. 1	0. 1	-	-	-	0.1	-	-	0.9	0. 5	-	-	C
Sodium Hydroxide	-	-	0.1	0.	0.	-	-	0.	-	0.1	-	-	-	-	0.	C



				1	1			1							6	
Polyquaternium 10	-	-	-	1.0	-	-	0.5	-	0.6	-	-	-	0.5	1.0	-	B
Polymer KG 30 <sup>4</sup>	-	0.7	-	-	0.7	-	-	-	-	0.8	-	-	-	-	0.8	B
Fragrance	0.5	0.5	0.5	0.5	0.5	-	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	0.5	E
Cetyl Alcohol	3	-	-	-	2.6	-	-	2.2	-	2.2	-	2.5	-	-	3.2	C
Stearyl Alcohol	2	-	-	-	1.5	-	-	3.2	-	1.1	-	1.6	-	-	2.6	C
Cetearyl Alcohol	-	-	4	-	0.4	-	-	-	3.4	-	-	-	3.4	-	-	C
Behenyl Alcohol	2	-	2	-	-	-	-	0.6	-	0.7	-	-	-	-	0.2	C
Natrosol 330 Plus	1	-	-	-	0.4	-	-	0.7	0.6	-	-	0.5	0.25	-	0.6	C
Natrosol 250 HHR	-	-	1	-	0.3	-	-	-	-	1.2	-	-	0.3	-	-	C
Jaguar HP 105 <sup>5</sup>	-	1.2	-	-	-	-	-	-	-	-	0.8	-	-	-	-	D
Jaguar C14S <sup>5</sup>	-	-	-	1	-	-	-	-	-	-	-	-	-	-	-	D
Jaguar C13S <sup>5</sup>	-	-	-	-	-	1.2	-	-	-	-	-	-	-	0.8	-	D
Xanthan Gum	-	-	-	-	-	-	3.0	-	-	-	-	-	-	-	-	D
Sodium Chloride	-	-	0.1	-	-	-	0.5	0.2	-	0.3	-	-	-	0.2	0.1	A
Water	qs	qs	qs	qs	qs	qs	qs	qs	qs	qs	qs	qs	qs	qs	qs	A

1; Available from Croda

2; Available from Floratech, AZ, USA

3: Available from Hoffman La Roche, NJ, USA

4; Available from Amerchol, NJ, USA

5; Available from Rhodia, NJ, USA

- In formulations 1, 4, 7, 9, 17, 20, 22, 24, 28, 36, 38, 47, 49, 53, 56 the quaternary ammonium compound used is Distearyl Dimethyl Ammonium Chloride supplied by Goldschmidt, trade name Varisoft TA100.
- In examples 2, 3, 5, 6, 8, 10, 15, 16, 18, 19, 21, 23, 26, 27, 31, 33, 34, 35, 37, 41, 45, 46, 48, 50, 51, 52, 54, 55 the quaternary ammonium compounds used is N,N-di(canolyl-oxy-ethyl)-N methyl,N-(2-hydroxyethyl)Ammonium Methyl Sulfate supplied by Goldschmidt, trade name Rewoquat V3620.
- In Example 11 the quaternary ammonium compound used is N,N-di(canolyl-oxy-ethyl)-N methyl,N-(2-hydroxyethyl)Ammonium Methyl Sulfate supplied by Goldschmidt, trade name Rewoquat WE18
- In Example 12 the quaternary ammonium compound used is N,N-di(canolyl-oxy-ethyl)-N methyl,N-(2-hydroxyethyl)Ammonium Chloride supplied by Goldschmidt, developmental material (WE25)
- In Example 13 the quaternary ammonium compound used is Methylbis(hydrogenated tallowamidoethyl)(2-hydroxyethyl)ammonium methyl sulfate supplied by Goldschmidt, trade name Varisoft 110.
- In Example 14 the quaternary ammonium compound used is Methylbis(tallowamidoethyl)(2-hydroxyethyl)ammonium methyl sulfate supplied by Goldschmidt, trade name Varisoft 222.
- In Example 25 the quaternary ammonium compound used is Methyl-1-tallowylethyl-2-tallowimidazoline supplied by Goldschmidt, developmental material
- In Example 29 the quaternary ammonium compound used is Methyl-1-tallowamidoethyl-2-tallowimidazoline supplied by Goldschmidt, developmental material
- In Example 30 the quaternary ammonium compound used is Methyl-1-tallowylethyl-2-tallowimidazolinium methyl sulfate supplied by Goldschmidt,
- In Example 32 the quaternary ammonium compound used is Methyl-1-tallowamidoethyl-2-tallowimidazolinium methyl sulfate supplied by Goldschmidt, trade name Varisoft 475, Varisoft 445
- In Example 39 the quaternary ammonium compound used is N,N-Dimethyl-N-(canolyl-oxy-ethyl)-N-(canolamidoethyl) amine, supplied by Kao.

- In Example 40 the quaternary ammonium compound used is N,N-Dimethyl-N-(canolyl-oxy-ethyl)-N-(canolamidoethyl) Ammonium Methyl Sulfate, supplied by Kao
- In Example 42 the quaternary ammonium compound used is N,N'-Bis(2-tailloxyethyl)-N,N,N',N'-Tetramethylene Diammonium Dichloride, as disclosed in patents US 4728337, US 4721512, US 4906413 from Ciba-Geigy Corporation
- In Example 43 the quaternary ammonium compound used is N,N'-Bis(2-canolyloxyethyl)-N,N'-Dimethyl-N,N'-(2-Hydroxyethyl)-1,6-Hexane Diammonium Methyl Sulfate, as disclosed in patents EP 0503155A1 & EP 0803498 from Goldschmidt-Witco.
- In Example 44 the quaternary ammonium compound used is the di and oligo ester quats made by the reaction of fatty acid, di functional (or multi functional) acid and triethanolamine followed by quaternisation as disclosed in WO98/49132 by Kao and US 5880289 by Henkel.

#### Process

##### For those examples including component C:

1. **Premix 1:** Combine components of group A together at a temperature greater than the transition temperature of the chosen quaternary ammonium compound, keeping back a predetermined part of the quaternary ammonium compound and water. Vigorously agitate this premix.
2. **Premix 2:** Combine components of groups B and C with the remaining parts of the quaternary ammonium compounds and water not previously used in premix 1. Heat above the melting point of the quat and the oils.
3. Combine Premix 1 & 2 and allow to cool until 40°C, stir in the perfume.

##### For those examples including component D:

1. **Premix 1:** Combine components of group A together at a temperature greater than the transition temperature of the chosen quaternary ammonium compound, keeping back a predetermined part of the water. Vigorously agitate this premix.
2. **Premix 2:** Combine with agitation the components of group D with the water not previously used in premix 1.
3. Combine Premix 1 & 2 and components of groups B & E. Vigorously agitate.

### Examples

1. Formulations 1 to 56 above can be applied to the skin and then rinsed off resulting in better moisturised skin.
2. Formulations 1 to 56 above can be applied to the skin after washing with a composition comprising surfactants. The product should be applied directly to wet skin after rinsing off the surfactant based product. The composition should then be rinsed off. This results in moisturised skin which has little damage caused by the composition containing surfactants.
3. Formulations 1 to 56 above should be used as part of a regular routine, therefore the composition should be applied to wet skin, the skin should be rinsed and the product should be used in the same manner within 48 hours. This results in moisturised skin which is protected against damage from surfactant based products.
4. Formulations 1 to 56 above can be applied to problem dry skin areas prior to wetting the skin. After a short time period the skin can be wet and washed with surfactants. Subsequent application of the compositions above, followed by rinsing, will result in an area of skin that has been intensively moisturised. This "pretreatment step" is particularly effective for very dry skin or simply an enhanced benefit from the product.
5. Formulations 1 to 56 above can be applied to problem dry skin areas prior to wetting the skin. After a short time period the skin can be rinsed. Subsequent application of the compositions above, followed by rinsing, will result in an area of skin that has been intensively moisturised. This "pretreatment step" is particularly effective for very dry skin or simply an enhanced benefit from the product.
6. Formulations 1 to 56 above can be applied to wet skin prior to shaving. Prior to shaving apply the product on wet skin and use in place of a normal shaving foam. After shaving rinse the skin. This results in moisturised skin after shaving.
7. Formulations 1 to 56 above can be used during the shaving regimen. After shaving the product should be applied to the skin and the skin subsequently rinsed. This results in smoother, more moisturised skin after shaving.
8. Formulations 1 to 56 above can be applied after swimming. The product should be applied after first rinsing the majority of the chlorine containing

water away. After application the skin should be rinsed. The skin will be moisturised and the damage caused by the chlorine will be minimised.

The method of the above examples provide good skin care benefits, such as good moisturisation, good hydration, good skin feel, good skin softness and/or good skin smoothness, with low levels of negatives such as greasiness, stickiness or tack.

**Claims**

1. A cosmetic method of treating skin comprising:
  - (i) applying to skin a composition comprising:
    - (a) at least one quaternary ammonium agent;
    - (b) humectant; and
  - (ii) rinsing the skin.
2. A method according to Claim 1 wherein said composition comprises greater than 1%, preferably at least 2%, by weight, of quaternary ammonium agent.
3. A method according to Claim 1 or 2 wherein said composition comprises greater than 4%, preferably greater than 5%, more preferably at least 10%, by weight of humectant.
4. A method according to any of the preceding claims wherein said composition comprises vesicles, said vesicles comprising quaternary ammonium agent and humectant.
5. A method according to any of the preceding claims comprising
  - (i) applying to dry skin a composition comprising:
    - (a) at least one quaternary ammonium agent;
    - (b) humectant; and
  - (ii) rinsing the skin.
6. A method according to any of the preceding claims wherein said method comprises:
  - (i) applying to dry skin a composition comprising at least one quaternary ammonium agent and humectant;
  - (ii) rinsing the skin under a shower;
  - (iii) further application of said composition; and
  - (iv) further rinsing.

7. A method according to any of the preceding claims wherein said composition comprises a humectant selected from glycerine, urea, butylene glycol, polyethylene glycol and derivatives thereof, or mixtures thereof.
8. A method according to any of the preceding claims wherein the quaternary ammonium agent is readily biodegradable.
9. A method according to any of the preceding claims wherein said method comprises:
- (i) applying to the skin a composition comprising:
    - (a) at least one quaternary ammonium compound;
    - (b) humectant; and
  - (ii) rinsing the skin;
  - (iii) repeating steps (i) and (ii) within 48 hours.
10. A method according to any of the preceding claims wherein said method comprises:
- (i) washing the skin using a composition comprising surfactants;
  - (ii) rinsing the skin;
  - (iii) applying to the wet skin a composition comprising at least one quaternary ammonium agent and humectant;
  - (iv) rinsing the skin.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled Cosmetic Compositions the specification of which

(check ☐ is attached hereto.  
one) ☒ was filed on 27 June 2000 as United States Application No. or  
PCT International Application Serial No. PCT/US00/17635  
and was amended on \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations §1.56.

I hereby claim foreign priority benefits under Title 35 United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

Yes No

9915094.8 GB 28 June 1999  
9915095.5 GB 28 June 1999

☒ ☐  
☒ ☐  
☒ ☐

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

<u>60/201,795</u>	<u>04 May 2000</u>		
Application Serial No.	Filing Date	Application Serial No.	Filing Date

I hereby claim the benefit under Title 35 United States Code §120 of any United States application(s), or §365(c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35 United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (If applicable)

As named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

<u>Atty Name</u>	<u>Atty Reg Number.</u>	<u>Associate Power of Attorney Attached</u> [ ] Yes [X] No
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

1-00  
Full name of sole or first joint inventor EVANS, Erica Louise  
Inventor's signature Erica Louise Evans Date 21/7/00

Residence Plough Cottage, Plough House Court, Hungerford, Berkshire RG17 0ED, GB  
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2-00  
Full name of second joint inventor, if any VANOOSTHUYZE, Kristina Emma Inge  
Inventor's signature Kristina Emma Inge Vanoosthuyze Date 20/7/00

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Full name of third joint inventor, if any \_\_\_\_\_  
Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

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Citizenship \_\_\_\_\_  
Post Office Address ., \_\_\_\_\_

Full name of fourth joint inventor, if any \_\_\_\_\_  
Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence ., \_\_\_\_\_  
Citizenship \_\_\_\_\_  
Post Office Address ., \_\_\_\_\_

Full name of fifth joint inventor, if any \_\_\_\_\_  
Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence ., \_\_\_\_\_  
Citizenship \_\_\_\_\_  
Post Office Address ., \_\_\_\_\_

(Decl.doc)

REVISED 12/97

PO(Same as Residence) 12/99

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the U.S. National Phase Entry  
Under 35 USC 371 from  
International Application of  
EVANS, Erica Louise et al.  
Int'l. Application No. PCT/US00/17635  
Filed in the RO/US on 27 June 2000  
Entitled: *Cosmetic Method*

ASSOCIATE POWER OF ATTORNEY

Assistant Commissioner for Patents  
Box PCT  
Washington, D.C. 20231

Dear Sir:

You are requested to recognize T. M. Rosnell (Registration No. 35,994), F. Tsuneki (Registration No. 40,512), B. J. Corstanje (Registration No. 34,804), A. E. Matthews (Registration No. 43,780), J. L. Oney, Jr. (Registration No. 42,963), B. M. Peebles (Registration No. 38,576), D. M. Kendall (Registration No. 43,709), L. M. Sivik (Registration No. 44,982), and S. W. Miller (Registration No. 31,984) of The Procter & Gamble Company, Cincinnati, Ohio, as Associate Attorneys to prosecute this application, to make alterations and amendments therein, and to transact all business in the Patent Office connected with the application or with the patent granted thereupon.

Please address all future communications to:

A. E. Matthews, Patent Attorney  
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Respectfully submitted for Applicants,

By



T. David Reed  
Agent for Applicant  
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